

## **System of Care Planning and Implementation Grants Program Specific Guidance**

**POLICY DEVELOPMENT (PD2): The number of organizations or communities that demonstrate improved readiness to change their systems in order to implement mental health-related practices that are consistent with the goals of the grant.**

For the purposes of the System of Care (SOC) Planning and Implementation Grants, the “mental health-related practices” are the **implementation of system of care values and principles**: An organizational philosophy and framework that involves collaboration across agencies, families, and youth for the purpose of improving services and access and expanding the array of coordinated community-based, culturally and linguistically competent services and supports for children and youth with a serious mental health condition and their families.

For the purposes of the SOC Planning and Implementation Grants, “improved readiness to change” is defined as change in potential collaborators’ systems over time, and generally occurs in stages. Generally, “improved readiness” can be made by moving from one stage to another. Organizations/Communities can be in any of the stages of change to be included in the count.

There are numerous tools you can choose from to measure your potential collaborators’ improved readiness to change regarding the implementation of system of care values and principles. These include, but are not limited to, the following six:

- Community Readiness Model, Colorado State University Tri-ethnic Center: ([http://triethniccenter.colostate.edu/communityReadiness\\_home.htm](http://triethniccenter.colostate.edu/communityReadiness_home.htm))
- Defining Community Readiness for the Implementation of a System of Care: (<http://www.lenorebehar.com/assets/images/pdf/34.%20Defining%20Community%20Readiness%20Sept.%202008%20.pdf>)
- Getting To Outcomes™, 10 Steps for Achieving Results-Based Accountability ([http://www.rand.org/content/dam/rand/pubs/technical\\_reports/2007/RAND\\_TR101.2.pdf](http://www.rand.org/content/dam/rand/pubs/technical_reports/2007/RAND_TR101.2.pdf))
- National Wraparound Initiative, Community Supports for Wraparound Inventory (Contact Janet Walker ([janetw@pdx.edu](mailto:janetw@pdx.edu)) or Eric Bruns ([ebruns@u.washington.edu](mailto:ebruns@u.washington.edu)) for more information.)
- Technical Assistance Partnership System of Care Implementation Self-Assessment: <http://www.tapartnership.org/docs/taPartnershipSelfAssessment.pdf>
- The Caring for Every Child’s Mental Health Campaign’s Continuum of Understanding and Acceptance of SOC Values and Principles. The stages are as follows: unaware; basic understanding; interested; want to learn more; fully supportive.

Once you have chosen a tool, please be sure to use the same tool throughout the period of your grant.

See also the definitions of Organizations/Communities in the TRAC Overview of Indicators Guide.

***Examples of PD2 Results***

1. **Result Name:** New Community Partner Participation in Governance Council Meeting  
**Result Description:** Consistent with the goals of the grant, during this quarter, for the first time a representative from the Department of Education attended our monthly Governance Council Meeting
2. **Result Name:** System of Care Training at Child Welfare Agency  
**Result Description:** Consistent with the goals of the grant, during this quarter the child welfare agency demonstrated readiness to change from discussing training issues last quarter to actually holding a System of Care Training for staff.

**PARTNERSHIP/COLLABORATION (PC2): The number of organizations collaborating/coordinating/sharing resources with other organizations as a result of the grant.**

***Examples of PC2 Results***

1. **Result Name:** Signing of MOU with a community primary care facility  
**Result Description:** As a result of this grant and during this quarter, ABC Pediatric and Adolescent Primary Care signed an MOU with us agreeing to provide use of office space for monthly meetings of the Governance Council.
2. **Result Name:** New partners for System of Care/Juvenile Justice Workgroup.  
**Result Description:** As a result of the grant and during this quarter, six new cities in our State joined the State System of Care/Juvenile Justice Workgroup.

**ACCOUNTABILITY (A5): The number of consumers/family members representing consumer/family organizations who are involved in ongoing mental health related planning and advocacy activities as a result of the grant.**

**For SOC Planning and Implementation grants you can read Accountability (A5) as:**

**The number of consumers/family members who are involved in ongoing mental health related planning and advocacy activities as a result of the grant.**

For the purposes of the SOC Planning and Implementation Grants, “consumers” are defined as children, youth, or young adults and their families who currently receive mental health services, have received mental health services in the past, or are eligible to receive mental health services but choose not to. It is understood and respected that many people who meet one or more of these criteria may choose to identify with a term other than “consumer.” Count the number of consumers who are involved in mental health planning efforts and advocacy per quarter as a result of the grant. The position can be paid or unpaid. NOTE: Consumers can still be representing consumer/family organizations but it is not necessary that they do to be counted.

***Examples of A5 Results***

1. **Result Name:** Membership on Governance Council  
**Result Description:** As a result of this grant and during this quarter, a young person representing Youth MOVE and an adult representing the Federation of Families were added as members to the Governance Council.
2. **Result Name:** Attendance at Planning Meeting  
**Result Description:** As a result of this grant and during this quarter, 5 youth and 2 family members attended the first planning meeting.